

DANIELS+TANSEY

FINANCIAL ADVISORY • TAX • INVESTMENT MANAGEMENT

Privacy Policy

Updated April 18, 2020

Facts	What does Daniels + Tansey, L.L.P (“D+T”) do with your personal information?						
Why?	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share and protect your personal information. Please read this notice carefully to understand what we do.						
What?	<p>The types of personal information we collect can include:</p> <table><tr><td>Social Security number</td><td>Investment experience</td></tr><tr><td>Income/assets</td><td>Account transactions</td></tr><tr><td>Date of birth</td><td>Driver license or passport information</td></tr></table> <p>When you are no longer our client, we will continue to hold your information and share it as described in this notice.</p>	Social Security number	Investment experience	Income/assets	Account transactions	Date of birth	Driver license or passport information
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Income/assets	Account transactions						
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How?	All financial companies need to share clients’ personal information to run their everyday business. In the section below, we list the reasons why financial companies can share their clients’ personal information, the reasons D+T chooses to share personal information and whether you can limit this sharing.						

For what reasons can D+T share your personal information?	Does D+T share?	Can you limit this sharing?
For our everyday business purposes, such as to process your transactions, determine suitability for investments and maintain your account(s).	Yes	No
We share your personal information with the firm's attorneys, accountants and auditors or others determining compliance with industry standards or to respond to court orders and/or legal investigations.	Yes	No
With third-party marketing services for our marketing purposes to offer our products and services to you.	No	We don't share
For joint marketing with other affiliated financial companies.	Yes	Yes
For our affiliates' everyday business purposes — information about your transactions and experiences.	Yes	Yes
For our affiliates' everyday business purposes — information about your creditworthiness.	No	We don't share
For non-affiliates to market to you — for clients with accounts established with D+T representatives at banks or credit unions.	No	We don't share

Questions?	Please direct to our Compliance team: email at jdaniels@danielstansey.com or by phone at 302-594-1070.
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Who We Are

Who is providing this notice?	<p>D+T and its affiliates (collectively, Daniels + Tansey, L.L.P. or “D+T”). Our affiliates include the following:</p> <ul style="list-style-type: none"> ▪ Ann Taylor Tansey & Co. (CPA firm) ▪ Daniels & Daniels, Inc. (insurance company)
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What We Do

How does D+T protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with state and federal laws. These measures include limiting your information to exposure from unauthorized individuals, certain computer safeguards and secured files and buildings.</p> <p>Our online environment uses security technologies, including layered security and access controls over personal information. For further information, please visit our website www.danielstansey.com or call us directly at 302-594-1070.</p>
How does D+T collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> ▪ Open your account(s) ▪ Apply for insurance ▪ Seek advice about your investments ▪ Enter into an investment advisory agreement ▪ Tell us about your investment or retirement portfolio <p>We also collect your personal information from others such as credit bureaus, affiliates or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> ▪ Sharing for affiliates' everyday business purposes — information about your creditworthiness ▪ Affiliates from using your information to market to you ▪ Sharing for non-affiliates to market to you <p>State laws and individual companies may give you additional rights to limit sharing. See below for more on your rights under state law.</p>
What happens when I limit sharing for an account I hold jointly with someone else?	<p>Your choices will apply to everyone on your account.</p>

Definitions

Affiliates	Companies related by common ownership or control. They can be financial and non-financial companies.
Non-Affiliates	<p>Companies not related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> ▪ Non-affiliates we may share information with include an independent representative's new brokerage or an investment advisory firm.
Joint marketing	<p>A formal agreement between non-affiliates financial companies that together market financial products or services to you:</p> <ul style="list-style-type: none"> ▪ This may include banks, credit unions or other financial institutions with which we have a joint marketing agreement.

Other Important Information

Information for California, North Dakota, and Vermont clients:

In response to applicable state law, if the mailing address provided for your account is in California, North Dakota, or Vermont, we will automatically treat your account as if you do not want us to disclose your personal information to non-affiliated third parties for purposes of them marketing to you, except as permitted by the applicable state law.

Mail-In Form

Privacy Choices Notice

If you would like to limit the personal information where applicable, or information that your financial advisor could disclose or take if he or she moved to another investment advisory firm and terminated the relationship with D+T, please email jdaniels@danielstansey.com or call us at 302-594-1070 or complete and mail the following form to:

Daniels + Tansey, L.L.P.

Attn: Chief Compliance Officer

1013 Centre Road, Suite 220

Wilmington, DE 19805

You can withdraw your opt-out choice at any time by contacting us by email or in writing at the address provided above.

If you limit sharing information to our affiliates, you will not be able to receive combined services with our affiliate until you revoke the limitation on sharing information.

If your primary address is in a state that requires your affirmative consent to share your personal information with the new firm, then you must give your written consent before we will allow your financial advisor to take any of your personal information to that new firm.

By completing and returning this form as described, I am instructing Daniels + Tansey, L.L.P. to limit the personal information about me where applicable and any information that my financial advisor could disclose or take if he or she moves to another investment advisory firm and terminates the relationship with Daniels + Tansey, L.L.P. However, I understand that Daniels + Tansey, L.L.P. may disclose my name, address, telephone number, email and the account title of the accounts serviced by my advisor to the new brokerage or investment advisory firm as allowed under federal and certain state laws.

Please note that for accounts held jointly by two or more persons, the privacy choices made by any account holder apply to all joint holders with respect to the account.

In order for your opt-out election to be effective, you must complete ALL of the following information:

Name _____

Address _____

City _____ State/Zip _____

Signature _____ Date _____